

UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

CARLOS GARCIA,

Plaintiff,

v.

COMMISSIONER OF SOCIAL  
SECURITY,<sup>1</sup>

Defendant.

Case No. 1:20-cv-00924-CDB

ORDER GRANTING PLAINTIFF'S MOTION  
FOR SUMMARY JUDGMENT AND  
REVERSING THE COMMISSIONER OF  
SOCIAL SECURITY'S DECISION

(Doc. 16)

Carlos Garcia ("Plaintiff") seeks judicial review of a final decision of the Commissioner of Social Security ("Commissioner" or "Defendant") denying his application for disability insurance and supplemental security income benefits under the Social Security Act. (Doc. 1). The matter currently is before the Court on the certified administrative record (Doc. 13) and the parties' briefs, which were submitted without oral argument. (Docs. 16, 19, 25).<sup>2</sup> Plaintiff asserts

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<sup>1</sup> On December 20, 2023, Martin O'Malley was named Commissioner of the Social Security Administration. *See* <https://www.ssa.gov/history/commissioners.html>. He therefore is substituted as the defendant in this action. *See* 42 U.S.C. § 405(g) (referring to the "Commissioner's Answer"); 20 C.F.R. § 422.210(d) ("the person holding the Office of the Commissioner shall, in [their] official capacity, be the proper defendant.").

<sup>2</sup> Both parties have consented to the jurisdiction of a magistrate judge for all proceedings in this action, in accordance with 28 U.S.C. § 636(c)(1). (Doc. 11).

1 the Administrative Law Judge (“ALJ”) erred in his analysis of two issues and requests the  
2 decision of the Commissioner be vacated and the case be remanded for the payment of benefits  
3 based on the credit-as-true rule. (Doc. 16 at 2, 7-16).

## 4 I. BACKGROUND

### 5 A. Administrative Proceedings

6 On September 14, 2016, Plaintiff protectively filed an application for benefits pursuant to  
7 Title II and Part A of Title XVIII of the Social Security Act (the “Act”), 42 U.S.C. § 401 *et seq.*,  
8 alleging a period of disability beginning on February 24, 2016. (Administrative Record (“AR”) at  
9 186-201). Plaintiff was 33 years old on the alleged disability onset date. *Id.* at 190. The  
10 Commissioner denied Plaintiff’s application initially and again on reconsideration. *Id.* at 88-89,  
11 118-24. Plaintiff submitted a written request for a hearing by an Administrative Law Judge  
12 (“ALJ”) on June 22, 2017. *Id.* at 134-35.

13 On January 22, 2019, Plaintiff, represented by counsel, appeared for a hearing before ALJ  
14 Arthur Zeidman via video. *Id.* at 24, 38-64. Vocational Expert (“VE”) Bonnie J. Drumwright,  
15 PhD,) also testified at the hearing. *Id.* 24, 38, 57-62.

### 16 B. Medical Record

17 The relevant medical record was reviewed by the Court and will be referenced below as  
18 necessary to this Court’s decision.

### 19 C. Hearing Testimony

20 Plaintiff testified he was involved in a family car accident on February 16, 2016, and  
21 began having problems with his back. *Id.* at 47. Plaintiff noted he saw his doctor and  
22 participated in pain management for his back. *Id.* Plaintiff initially saw a chiropractor for his  
23 back but was sent to another doctor. *Id.* at 47-48. Plaintiff testified he was prescribed and took  
24 medicine for his back. *Id.* at 48. Plaintiff stated he took “Norco” three times a day.” *Id.* at 50-51.  
25 Plaintiff also claimed he took muscle relaxers, Flexeril, and Gabapentin for nerve pain. *Id.* at 51.  
26 Plaintiff asserted he has bad anxiety and “emotional” issues because of his medication. *Id.* at 56.

27 Plaintiff asserted his back constantly bothered him and that his pain was concentrated in  
28 his lower back and ran down his leg. *Id.* at 48, 51. Plaintiff described the pain as stabbing,

1 shooting down his right leg, with a lot of spasms. *Id.* at 52. Plaintiff claimed he has spasms  
2 every day and has to lie down right away. *Id.* Plaintiff asserted he discussed but did not undergo  
3 back surgery. *Id.* at 52-53. Plaintiff testified he constantly must use a heating pad, for half the  
4 day, to relieve his pain. *Id.* at 53. Plaintiff reported he has difficulty concentrating and sweats a  
5 lot, has difficulty getting, and that he cannot bend and that his back often locks up because of the  
6 pain. *Id.* at 54, 56.

7 Plaintiff testified he is not able to drive and that his parents take him places. *Id.* at 48.  
8 Plaintiff also reported he began experiencing mental health problems after the accident occurred.  
9 *Id.* Plaintiff testified he saw Dr. Angela Grasser for his physical concerns but that he had not seen  
10 a psychiatrist or a psychologist. *Id.* at 49. Plaintiff believed seeing a psychiatrist or psychologist  
11 would be helpful and that his health insurance covered it. *Id.*

12 Plaintiff reported he took his daughter to school in the mornings and would come back  
13 home and lie down. *Id.* Plaintiff testified he doesn't do chores and his mother cooks him food.  
14 *Id.* at 49, 55-56. Plaintiff noted he tries to go shopping with his family, but his mother usually  
15 gets his groceries or clothing for him. *Id.* at 50. Plaintiff testified he spends most of the day lying  
16 down and he does not leave his house besides going to the doctor. *Id.* at 54, 56.

17 Plaintiff claimed, before his accident, he worked at Baker Commodities as a maintenance  
18 mechanic for industrial equipment between 2004 and 2011. *Id.* at 41-42. Plaintiff's  
19 responsibilities included welding and fabricating equipment. *Id.* at 42-43. Plaintiff estimated the  
20 heaviest objects he would have to lift were up to 100 pounds. *Id.* at 45-46. Plaintiff reported he  
21 was "laid off" from Baker Commodities. *Id.* at 46. Thereafter, Plaintiff did part-time work and  
22 was looking for full-time work. *Id.* at 46-47.

23 The VE summarized Plaintiff's work for the last 15 years as a maintenance mechanic. *Id.*  
24 at 59. The ALJ proffered a hypothetical to the VE of an individual with the same age, education,  
25 and past job as Plaintiff who was limited to less than the full range of light work, including lifting  
26 and carrying 20 pounds only occasionally, ten pounds frequently, sitting for six hours, standing  
27 for six hours, walking for six hours, and pushing and pulling as much as he can lift and carry. *Id.*  
28 at 59-60. Further, this proposed individual could climb ramps and stairs frequently, climb

1 ladders, ropes, or scaffolds occasionally, balance frequently, stoop occasionally, kneel frequently,  
2 crouch occasionally, crawl frequently, and operate a motor vehicle occasionally. *Id.* at 60. The  
3 VE assessed this person would not be able to perform any of Plaintiff's past work. *Id.* However,  
4 the VE determined that the person could still perform work in a variety of other fields, including  
5 as a cashier II (DOT #211.462-010), fast food worker (DOT #311.472-010), and marker (DOT  
6 #209.587-034). *Id.*

7 The ALJ proffered a second hypothetical of an individual similar to the first but, for a  
8 variety of reasons, would be off task 25% of the day. *Id.* at 61. The VE assessed this person  
9 would not be able to perform any of the jobs mentioned. *Id.* Plaintiff's Counsel proffered a  
10 hypothetical of an individual who could rarely lift less than five pounds, could sit only about an  
11 hour, and stand and walk for an hour. *Id.* The VE testified this person would be unable to work.  
12 *See Id.* at 62. ("No, there wouldn't be any jobs that would meet the definition of competitive  
13 gainful employment at two hours."). Thereafter, the ALJ decided a post-hearing orthopedic  
14 consultative examination should occur and concluded the hearing. *Id.* at 63.

15 On February 10, 2019, a post-hearing orthopedic consultative examination took place. *Id.*  
16 at 516-36. On April 18, 2019, the evidence was provided to Plaintiff. *Id.* at 20, 516-36. On May  
17 8, 2019, Plaintiff submitted a response to the examination. *Id.* at 284-85. The ALJ deemed the  
18 response to be an objection to the post-hearing orthopedic consultative examination and was  
19 overruled as being both vague and failing to state any basis for the objection. *Id.* at 20.

#### 20 **D. The ALJ's Decision**

21 On June 5, 2019, the ALJ issued a decision finding that Plaintiff was not disabled. *Id.* at  
22 20-30. The ALJ conducted the five-step disability analysis set forth in 20 C.F.R. § 404.1520(a).  
23 *Id.* at 21-29. The ALJ found Plaintiff had not engaged in substantial gainful activity since  
24 February 24, 2016, the alleged onset date (step one). *Id.* at 22. The ALJ held Plaintiff possessed  
25 the following severe impairment: degenerative disc disease of the lumbar spine (step two). *Id.* at  
26 23. The ALJ recognized that the record indicated that Plaintiff suffered from depression since the  
27 latter part of 2016. *Id.* However, the ALJ determined Plaintiff's depression did not cause more  
28 than minimal limitation in Plaintiff's ability to perform basic mental work activities and was

1 therefore nonsevere. *Id.*

2 Next, the ALJ determined Plaintiff did not have an impairment or combination of  
3 impairments that meets or medically equals the severity of one of the listed impairments in 20  
4 C.F.R. Part 404, Subpart P, Appendix 1 (“the Listings”) (step three). *Id.* at 24. The ALJ then  
5 assessed Plaintiff’s residual functional capacity (“RFC”). *Id.* at 24. The ALJ found that Plaintiff  
6 retained the RFC:

7 “to perform light work as defined in 20 CFR 404.1567(b) and 416.967(b) except  
8 lift/carry 20 pounds occasionally and 10 pounds frequently; sit for 6 hours, stand for 6  
9 hours, and walk for 6 hours; push/pull as much as can lift/carry; frequently climb  
10 ramps and stairs and occasionally climb ladders, ropes, or scaffolds; frequently  
balance, kneel, or crawl and occasionally stoop or crouch; and can operate a motor  
vehicle occasionally.”

11 *Id.*

12 The ALJ acknowledged that while Plaintiff’s impairments could reasonably be  
13 expected to cause his alleged symptoms, Plaintiff’s statements concerning the intensity,  
14 persistence and limiting effects of his symptoms were not entirely consistent with the  
15 medical evidence and other evidence in the record. *Id.* at 25.

16 Thereafter, the ALJ reviewed Plaintiff’s treatment record from 2016 through 2019.  
17 *Id.* at 25-27. The ALJ found that Plaintiff was involved in a car accident on February 24,  
18 2016, but did not receive medical attention after the accident. *Id.* at 25. The ALJ noted  
19 Plaintiff’s treatment records indicated no mention of any back-related symptoms or  
20 impairment until July 2016. *Id.* at 25-26. The ALJ reviewed a July 26, 2016, MRI of  
21 Plaintiff’s spine. *Id.* at 26. The MRI revealed a “L4-L5 disc protrusion effacing the thecal  
22 sac combined with facet hypertrophy resulting in encroachment of the transiting nerve  
23 roots; L5-S1 disc protrusion that abuts the thecal sac; mild discogenic spondylosis at L4-  
24 L5; and mild facet arthrosis at L4-S1.” *Id.*

25 The ALJ reviewed treatment notes from Dr. Angela Grasser on August 16, 2016.  
26 *Id.* Plaintiff was noted to have tenderness in his lower back and decreased range of motion  
27 but otherwise had a normal examination and that his chiropractic treatment was helping.  
28

1 *Id.* Plaintiff was prescribed Norco, Flexeril, and ibuprofen. *Id.* The ALJ determined  
2 Plaintiff underwent a consultation conducted by neurosurgeon Dr. Al. Najafi on September  
3 13, 2016. *Id.* Dr. Najafi's examination of Plaintiff was normal except for lumbar  
4 tenderness in the midlumbar spine, positive straight leg raise on the right, and diminished  
5 perception of light touch in the lateral shin and anterior foot of the right lower extremity.  
6 *Id.* Dr. Najafi diagnosed Plaintiff with lumbar disc herniation with radiculopathy and  
7 scheduled him for a transforaminal root block at L4-L5 and a facet injection at the same  
8 level, which took place on September 19, 2016. *Id.*

9 The ALJ noted "[s]ubsequent records indicated Plaintiff continued to complain of  
10 low back, pain, tenderness and decreased range of motion of the lumbar spine." *Id.*  
11 Plaintiff was provided a positive slump test, Patrick test, with facet loading, occasional  
12 positive straight leg raise tests, and occasional evidence of limping. *Id.* Plaintiff's  
13 December 29, 2016, EMG/nerve conduction study was normal. *Id.* The ALJ noted  
14 Plaintiff "did undergo a skin biopsy for epiderma nerve fiber density, which was abnormal  
15 for low nerve fiber density consistent with small fiber neuropathy of the right thigh and  
16 calf." *Id.*

17 Next, the ALJ considered Plaintiff's treatment records from 2017. *Id.* The  
18 treatment notes continued to reveal similar findings with minimal change in treatment. *Id.*  
19 The ALJ determined Plaintiff "reported he was able to clean his home, drive, cook, and  
20 care for his personal hygiene." *Id.* The ALJ found by October and December 2017, Dr.  
21 Grasser noted Plaintiff's condition was stable. *Id.* The ALJ found that Plaintiff's 2018  
22 treatment notes were similar to 2016 and 2017 with evidence of limited range of motion of  
23 the lumbar spine and occasional positive straight leg raise tests due to lumbar  
24 radiculopathy. *Id.*

25 The ALJ considered a post-hearing February 2019 internal medicine consultative  
26 examination conducted by Dr. Roger Wagner. *Id.* at 27. Dr. Wagner observed and  
27 examined Plaintiff but did not have the opportunity to review any records except for an  
28 MRI Plaintiff brought to the examination. *Id.* Dr. Wagner "observed [Plaintiff] walking a

1 half block, briskly, from the parking lot to the building.” *Id.* Dr. Wagner noted Plaintiff  
2 was able to sit 45 minutes at a time, cook, clean, drive, shop, perform his activities of daily  
3 living, and walk his child to school despite testifying at the January 2019 hearing that he  
4 was unable to perform a majority of these activities. *Id.* Dr. Wagner found Plaintiff’s  
5 “examination was relatively normal except for some decreased range of motion of the  
6 lumbar spine, positive straight leg raise test in the supine position on the right side with  
7 evidence of some pulling in the low back without obvious radiculopathy.” *Id.*

8 The ALJ considered a post-hearing April 2019 orthopedic consultative examination  
9 conducted by Dr. Kale H. Van Kirk. *Id.* Dr. Van Kirk noted Plaintiff claimed he was able  
10 to take care of his personal hygiene, eat, watch television, and read but was unable to cook  
11 and perform heavy yard work, or household chores. *Id.* Dr. Van Kirk found Plaintiff did  
12 have pain in the mid-lumbar spine area that radiated to the waist area and into the buttocks  
13 bilaterally along with decreased range of motion and decreased reflexes. *Id.* Dr. Van Kirk  
14 determined Plaintiff was able to sit comfortably at the examination table, get up and out of  
15 the chair, and walk around the exam room without difficulty, and exhibited no limp. *Id.*  
16 Dr. Van Kirk noted Plaintiff had a normal Romberg test, his tandem walking was  
17 satisfactory, he was able to get on his toes and heels had a normal heel/toe gait pattern and  
18 was able to squat down and take a few steps without difficulty. *Id.* Dr. Van Kirk  
19 diagnosed Plaintiff with chronic lumbosacral musculoligamentous strain/sprain. *Id.*

20 The ALJ gave “greatest weight” to the opinions of Dr. Van Kirk and nonexamining  
21 medical consultants, Drs. E. Wong and K. Mohan. *Id.* The ALJ found these opinions  
22 showed Plaintiff was capable of less than light exertion. *Id.* The ALJ asserted these  
23 opinions were supported by Plaintiff’s “continued abnormal clinical findings of decreased  
24 range of motion and tenderness; however, the record also exhibited evidence of normal  
25 gait, ability to sit comfortably and move about without difficulty, and perform his daily  
26 activities.” *Id.*

27 The ALJ gave “[s]ignificant weight to the opinions of consultative examiner Dr.  
28 Richard Engeln and medical consultants Drs. E. Aquino-Caro and R. Adamo. *Id.* The ALJ

1 found these opinions showed Plaintiff's mental impairments caused no more than mild  
2 limitations. *Id.* The ALJ determined these opinions were supported by the overall medical  
3 evidence of record indicating that Plaintiff's mental impairment would not prevent job  
4 adjustment. *Id.*

5 The ALJ gave little weight to the opinion of a non-acceptable medical source,  
6 physical therapist Daniel Alfaro. *Id.* Mr. Alfaro opined Plaintiff was functionally limited  
7 in bending, lifting, walking, and sitting. *Id.* The ALJ acknowledged that the opinion was  
8 supported by findings of limitations in those areas. *Id.* However, the ALJ determined Mr.  
9 Alfaro did not provide the level of limitation. *Id.*

10 The ALJ gave little weight to the opinion of Dr. Grasser. *Id.* at 28. Dr. Grasser  
11 opined Plaintiff is limited to a restrictive range of sedentary exertion. *Id.* The ALJ held  
12 Dr. Grasser's opinion was not supported by the clinical findings along with Plaintiff's  
13 reports in January and February 2017 and February 2019 that he can cook, clean, drive,  
14 shop, and walk his child to school. *Id.*

15 The ALJ gave little weight to the opinion of Dr. Wagner. *Id.* Dr. Wagner opined  
16 Plaintiff is capable of less than medium exertion. *Id.* The ALJ determined Dr. Wagner's  
17 opinion was not supported by the overall medical evidence of record, including an  
18 abnormal 2016 MRI, continued abnormal clinical findings, and Plaintiff's continued back  
19 pain management indicating Plaintiff's condition is more limiting. *Id.* Further, the ALJ  
20 noted Dr. Wagner did not have access to any evidence of record other than the MRI. *Id.*

21 The ALJ concluded Plaintiff's subjective complaints and alleged limitations were  
22 not entirely consistent with the medical evidence of record. *Id.* Instead, the ALJ found  
23 Plaintiff retained the capacity to perform less than the full range of light work described in  
24 the RFC provided. *Id.*

25 The ALJ determined that Plaintiff was unable to perform any past relevant work  
26 (step four) but could perform a significant number of other jobs in the national economy,  
27 including cashier II, fast food worker, and marker, (step five). *Id.* at 28-29. The ALJ  
28 concluded Plaintiff has not been under a disability as defined in the Act. *Id.* at 29.



## **E. The Appeals Council's Decision**

On May 1, 2020, the Appeals Council denied Plaintiff's request for review, making the ALJ's decision the final decision of the Commissioner. *Id.* at 6-11. Plaintiff filed this action on July 2, 2020, seeking judicial review of the denial of his application for benefits. (Doc. 1). The Commissioner lodged the administrative record on July 30, 2021. (Doc. 13). Plaintiff filed an opening brief on September 17, 2021. (Doc. 16). On November 30, 2021, Defendant filed a responsive brief and Plaintiff filed a reply on February 14, 2022. (Docs. 19, 25).

## **II. LEGAL STANDARD**

### **A. The Disability Standard**

Disability Insurance Benefits and Supplemental Security Income are available for every eligible individual who is "disabled." 42 U.S.C. §§ 402(d)(1)(B)(ii) and 1381(a). An individual is "disabled" if unable to "engage in any substantial gainful activity by reason of any medically determinable physical or mental impairment ..." <sup>3</sup> *Bowen v. Yuckert*, 482 U.S. 137, 140 (1987) (quoting identically worded provisions of 42 U.S.C. §§ 423(d)(1)(A), 1382c(a)(3)(A)). To achieve uniformity in the decision-making process, the Social Security regulations set out a five-step sequential evaluation process to be used in determining if an individual is disabled. *See* 20 C.F.R. § 404.1520; *Batson v. Comm'r of Soc. Sec. Admin.*, 359 F.3d 1190, 1194 (9th Cir. 2004). Specifically, the ALJ is required to determine:

(1) whether a claimant engaged in substantial gainful activity during the period of alleged disability, (2) whether the claimant had medically determinable "severe" impairments, (3) whether these impairments meet or are medically equivalent to one of the listed impairments set forth in 20 C.F.R. § 404, Subpart P, Appendix 1, (4) whether the claimant retained the RFC to perform past relevant work and (5) whether the claimant had the ability to perform other jobs existing in significant numbers at the national and regional level.

*Stout v. Comm'r. Soc. Sec. Admin.*, 454 F.3d 1050, 1052 (9th Cir. 2006). The burden of proof is on a claimant at steps one through four. *Ford v. Saul*, 950 F.3d 1141, 1148 (9th Cir. 2020) (citing

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<sup>3</sup> A "physical or mental impairment" is one resulting from anatomical, physiological, or psychological abnormalities that are demonstrated by medically acceptable clinical and laboratory diagnostic techniques. 42 U.S.C. § 423(d)(3).

1 *Valentine v. Comm’r of Soc. Sec. Admin*, 574 F.3d 685, 689 (9th Cir. 2009)).

2 Before making the step four determinations, the ALJ first must determine the claimant’s  
 3 RFC. 20 C.F.R. § 416.920(e). The RFC is the most a claimant can still do despite their  
 4 limitations and represents an assessment based on all relevant evidence. 20 C.F.R. §§  
 5 404.1545(a)(1); 416.945(a)(1)). The RFC must consider all of the claimant’s impairments,  
 6 including those that are not severe. 20 C.F.R. § 416.920(e); § 416.945(a)(2). *E.g.*, *Wells v.*  
 7 *Colvin*, 727 F.3d 1061, 1065 (10th Cir. 2013) (“These regulations inform us, first, that in  
 8 assessing the claimant’s RFC, the ALJ must consider the combined effect of all of the claimant’s  
 9 medically determinable impairments, whether severe or not severe.”). The RFC is not a medical  
 10 opinion. 20 C.F.R. § 404.1527(d)(2). Rather, it is a legal decision that is expressly reserved to  
 11 the Commissioner. 20 C.F.R. § 404.1546(c); *see Vertigan v. Halter*, 260 F.3d 1044, 1049 (9th  
 12 Cir. 2001) (“[I]t is the responsibility of the ALJ, not the claimant’s physician, to determine  
 13 residual functional capacity.”).

14 At step five, the burden shifts to the Commissioner to prove that Plaintiff can perform  
 15 other work in the national economy given the claimant’s RFC, age, education, and work  
 16 experience. *Garrison v. Colvin*, 759 F.3d 995, 1011 (9th Cir. 2014). To do this, the ALJ can use  
 17 either the Medical-Vocational Guidelines or rely upon the testimony of a VE. *Lounsbury v.*  
 18 *Barnhart*, 468 F.3d 1111, 1114 (9th Cir. 2006); *Osenbrock v. Apfel*, 240 F.3d 1157, 1162 (9th  
 19 Cir. 2001). “Throughout the five-step evaluation, the ALJ ‘is responsible for determining  
 20 credibility, resolving conflicts in medical testimony and for resolving ambiguities.’” *Ford*, 950  
 21 F.3d at 1149 (quoting *Andrews v. Shalala*, 53 F.3d 1035, 1039 (9th Cir. 1995)).

## 22 **B. Standard of Review**

23 Congress has provided that an individual may obtain judicial review of any final decision  
 24 of the Commissioner of Social Security regarding entitlement to benefits. 42 U.S.C. § 405(g). In  
 25 determining whether to reverse an ALJ’s decision, a court reviews only those issues raised by the  
 26 party challenging the decision. *See Lewis v. Apfel*, 236 F.3d 503, 517 n.13 (9th Cir. 2001). A  
 27 court may set aside the Commissioner’s denial of benefits when the ALJ’s findings are based on  
 28 legal error or are not supported by substantial evidence. *Tackett v. Apfel*, 180 F.3d 1094, 1097

(9th Cir. 1999).

“Substantial evidence is relevant evidence which, considering the record as a whole, a reasonable person might accept as adequate to support a conclusion.” *Thomas v. Barnhart*, 278 F.3d 947, 954 (9th Cir. 2002) (quoting *Flaten v. Sec’y of Health & Human Servs.*, 44 F.3d 1453, 1457 (9th Cir. 1995)). “[T]he threshold for such evidentiary sufficiency is not high.” *Biestek v. Berryhill*, 139 S. Ct. 1148, 1154 (2019). Rather, “[s]ubstantial evidence means more than a scintilla, but less than a preponderance; it is an extremely deferential standard.” *Thomas v. CalPortland Co.*, 993 F.3d 1204, 1208 (9th Cir. 2021) (internal quotations and citations omitted).

“[A] reviewing court must consider the entire record as a whole and may not affirm simply by isolating a specific quantum of supporting evidence.” *Hill v. Astrue*, 698 F.3d 1153, 1159 (9th Cir. 2012) (internal quotations and citations omitted). “If the evidence ‘is susceptible to more than one rational interpretation, it is the ALJ’s conclusion that must be upheld.’” *Ford*, 950 F.3d at 1154 (quoting *Burch v. Barnhart*, 400 F.3d 676, 679 (9th Cir. 2005)). Even if the ALJ has erred, the Court may not reverse the ALJ’s decision where the error is harmless. *Stout*, 454 F.3d at 1055-56. An error is harmless where it is “inconsequential to the [ALJ’s] ultimate nondisability determinations.” *Tommasetti v. Astrue*, 533 F.3d 1035, 1038 (9th Cir. 2008) (quotation and citation omitted). The burden of showing that an error is not harmless “normally falls upon the party attacking the agency’s determination.” *Shinseki v. Sanders*, 556 U.S. 396, 409 (2009).

### III. LEGAL ISSUES

Plaintiff asserts the ALJ committed harmful error by failing to defer and afford “greatest weight” to the medical source statements of treating physician Dr. Grasser “absent the requisite ‘specific and legitimate’ reasons.” (Doc. 16 at 2, 7-12). Plaintiff also argues the ALJ committed harmful error by failing to provide “clear and convincing” reasons for rejecting Plaintiff’s symptomology evidence. *Id.* at 2, 12-15.

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#### IV. DISCUSSION

##### A. Whether the ALJ Provided Specific and Legitimate Reasons to Assign Reduced Weight to the Opinion of Dr. Grasser.

For Social Security disability cases filed before March 27, 2017, the weight to be given to medical opinions depends in part on whether the opinions are proffered by treating, examining, or nonexamining health professionals.<sup>4</sup> *Lester v. Chater*, 81 F.3d 821, 830 (9th Cir. 1995); *Fair v. Bowen*, 885 F.2d 597, 604 (9th Cir. 1989). “As a general rule, more weight should be given to the opinion of a treating source than to the opinion of doctors who do not treat the claimant...” as a treating doctor is employed to cure and has a greater opportunity to know and observe the patient as an individual. *Lester*, 81 F.3d at 830; *Smolen v. Chater*, 80 F.3d 1273, 1285 (9th Cir. 1996); *Bates v. Sullivan*, 894 F.2d 1059, 1063 (9th Cir. 1990); *Cf. Pitzer v. Sullivan*, 908 F.2d 502, 506, n.4 (9th Cir. 1990) (the least weight is given to the opinion of a non-examining professional).

The uncontradicted opinion of a treating or examining physician may be rejected only for clear and convincing reasons supported by substantial evidence in the record. *Lester*, 81 F.3d at 831. The opinion of a treating or examining physician that is controverted by another doctor may be rejected only for specific and legitimate reasons supported by substantial evidence in the record. *Id.* Specific and legitimate reasons require the ALJ to set out a detailed and thorough summary of the facts and conflicting clinical evidence, state his/her interpretation of the evidence, and make a finding. *Magallanes v. Bowen*, 881 F.2d 747, 751-55 (9th Cir. 1989); *see Reddick v. Chater*, 157 F.3d 715, 725 (9th Cir. 1998) (“The ALJ must do more than offer his conclusions. He must set forth his own interpretations and explain why they, rather than the doctors’, are correct.”). Absent specific and legitimate reasons, the ALJ must defer to the opinion of a treating or examining physician. *See Lester*, 81 F.3d at 830-31.

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<sup>4</sup> Effective March 27, 2017, Revisions to Rules Regarding the Evaluation of Medical Evidence went into effect. Plaintiff’s application was filed prior to March 27, 2017 (AR at 186-201). “For claims filed before March 27, 2017, but not decided until after that date...the rules listed in 20 C.F.R. §§ 404.1527(c), 416.927(c) apply.” *Edinger v. Saul*, 432 F. Supp. 3d 516, 530 (E.D. Pa. 2020).

1 Plaintiff was seen by Dr. Grasser on August 19, 2016. AR at 290-93. Dr. Grasser noted  
2 Plaintiff was in a motor vehicle accident and was going to a chiropractor for treatment. *Id.* at 290.  
3 Dr. Grasser documented Plaintiff's chiropractic treatment was "helping" but that his pain was not  
4 improving and that an MRI identified a bulging disc with nerve involvement. *Id.* Dr. Grasser  
5 performed a physical examination that showed Plaintiff had normal range of motion, normal  
6 strength, and a tender lower back with a decreased range of motion. *Id.* at 291. Dr. Grasser  
7 diagnosed Plaintiff with lower back pain, prescribed him Norco, Flexeril, and ibuprofen, and  
8 referred him for a neurological surgery consultation and to a pain specialist. *Id.* at 292.

9 Plaintiff saw Dr. Grasser again on November 23, 2016. *Id.* at 336-39. Dr. Grasser again  
10 noted Plaintiff's pain was not improving and medication was not helping much. *Id.* at 336. Dr.  
11 Grasser noted Plaintiff's chiropractic treatment was still helping, but he still had a tender lower  
12 back with a decreased range of motion. *Id.* at 337.

13 On December 21, 2016, Dr. Grasser completed a physical residual functional capacity  
14 medical source statement for Plaintiff. *Id.* at 238-31. Dr. Grasser diagnosed Plaintiff with a  
15 "herniated disc L4. L5 effaces thecal sac [and] nerve roots." *Id.* at 328. Dr. Grasser's prognosis  
16 of Plaintiff's condition was "fair." *Id.* Dr. Grasser identified Plaintiff had back pain and leg  
17 numbness. *Id.* Dr. Grasser described the pain as "9-10/10 lower back [radiating] to leg[, sharp,  
18 [with Plaintiff] unable to walk/lift." *Id.* Dr. Grasser noted Plaintiff had a tender lower back and  
19 issues with his sciatic nerve. *Id.*

20 Dr. Grasser determined Plaintiff's condition had lasted since February 2016 and he could  
21 no longer work. *Id.* Dr. Grasser opined Plaintiff "rarely" would be able to lift and carry less than  
22 five pounds in a competitive work environment. *Id.* at 328-29. Dr. Grasser found Plaintiff would  
23 have problems walking, climbing stairs, stooping, crouching, and bending. *Id.* at 329. Dr.  
24 Grasser estimated Plaintiff must lie down/recline for 30 minutes before he could sit up, stand up,  
25 or walk around. *Id.* Dr. Grasser determined Plaintiff would need to lie down/recline for an hour  
26 during an 8-hour workday. *Id.* Dr. Grasser noted Plaintiff could only sit, stand, and/or walk for  
27 about an hour before he needed to take an unscheduled break. *Id.* at 329-30. Next, Dr. Grasser  
28 found Plaintiff was limited in his ability to reach overhead, push, and pull, and he could not use

1 stairs, ladders, scaffolds, ropes, and ramps. *Id.* at 330. Dr. Grasser identified Plaintiff's pain and  
2 mental condition could frequently affect his ability to work. *Id.* at 330-31.

3 On December 27, 2016, Plaintiff again saw Dr. Grasser. *Id.* at 332-35. Dr. Grasser's  
4 notes reflect similar findings to Plaintiff's August and November visits. *Id.* On December 11,  
5 2018, Dr. Grasser completed a second physical residual functional capacity medical source  
6 statement for Plaintiff. *Id.* at 512-15. Dr. Grasser's second statement largely mirrored her first.  
7 *Id.*

8 As discussed above, the ALJ gave little weight to the opinion of Dr. Grasser because it  
9 was not supported by the clinical findings. AR at 28. Plaintiff argues the ALJ erred by failing to  
10 detail what "clinical findings" of record did not support Dr. Grasser's RFC. (Doc. 16 at 9-10).  
11 Plaintiff argues the record is replete with extensive objective examinations that support Dr.  
12 Grasser's opinion. *Id.* at 10. Plaintiff acknowledges the ALJ "briefly 'summarizes' the medical  
13 evidence of record on one page of the unfavorable decision (AR at 26)" but asserts "there is no  
14 discussion by the ALJ how this considerable objective evidence of limitation does not support the  
15 long-term treating physician's less than sedentary RFC[.]" *Id.*

16 Defendant contends the ALJ properly assigned "little weight" to Dr. Grasser's opinion  
17 because her opinion was inconsistent with her treatment notes and objective findings, the medical  
18 evidence as a whole, and other evidence in the record. (Doc. 19 at 6). Thereafter, Defendant  
19 posits treatment records that undermine Dr. Grasser's opinion. *Id.* at 6-7. In reply, Plaintiff avers  
20 Defendant "cannot remedy the ALJ's harmful error of failing to provide the requisite 'specific  
21 and legitimate' reasons to reject the well-supported treating physician opinion, with post hoc  
22 citations to the record." (Doc. 25 at 3).

23 An ALJ may reject the opinions of a physician when they are inconsistent with the overall  
24 record. *Morgan v. Comm'r of the Soc. Sec. Admin.*, 169 F.3d 595, 602-03 (9th Cir. 1999); *see* 20  
25 C.F.R. § 416.927(c)(4) ("Generally, the more consistent an opinion is with the record as a whole,  
26 the more weight we will give to that opinion."). However, to do so, "[t]he ALJ must do more  
27 than offer his conclusions." *Embrey v. Bowen*, 849 F.2d 418, 421-22 (9th Cir. 1988). The ALJ  
28 must set out in the record his reasoning and the evidentiary support for his interpretation of the

1 medical evidence. *Tackett*, 180 F.3d at 1102; *Cotton v. Bowen*, 799 F.2d 1403, 1408 (9th Cir.  
2 1986).

3 Here, the ALJ does not identify what “clinical findings” undermined Dr. Grasser’s  
4 opinions. While the ALJ summarized Plaintiff’s clinical findings (AR at 25-26), he did not point  
5 to any specific record that served to invalidate Dr. Grasser’s opinions. *See Jones v. Saul*, No.  
6 2:18-cv-1094-EFB, 2019 WL 4747702, at \*4 (E.D. Cal. Sept. 30, 2019) (finding the ALJ erred  
7 when he “merely offered his conclusions” that the physician’s opinion was inconsistent with other  
8 evidence and failed to either identify specific objective findings from his summary of the medical  
9 record or provide “an explanation of how such evidence undermines [the physician’s] opinion”);  
10 *cf. Martinez v. Kijakazi*, No. 1:21-cv-0160-JLT-HBK, 2023 WL 5348335, at \*3 (E.D. Cal. Aug.  
11 20, 2023) (“Although the ALJ thoroughly summarized the medical record...the ALJ did not link  
12 this summary of the medical record to the rejected limitations”).

13 Although Defendant offers a summary of the medical record and identifies certain  
14 conflicts in the record (Doc. 19 at 6-7), the burden was on the ALJ to specifically identify the  
15 evidence that conflicted with Dr. Grasser’s opinion. *See Allen v. Heckler*, 749 F.2d 577, 579 (9th  
16 Cir. 1984) (“it is the ALJ’s role to...resolve the conflict”); *Gray v. Comm’r of SSA*, 365 Fed.  
17 App’x 60, 62 (9th Cir. 2010) (“When medical records are at odds with each other or in any way  
18 conflict, it is the ALJ’s role to assess and resolve conflicting medical evidence”). The Court  
19 declines to speculate as to what “clinical findings” the ALJ held undermined Dr. Grasser’s  
20 proposed limitations. The Court “is neither required nor inclined to scour the record in an attempt  
21 to divine the specific basis for an ALJ’s opinion.” *Lara v. O’Malley*, No. 2:22-cv-1553 DB, 2024  
22 WL 813459, at \*4 (E.D. Cal. Feb. 27, 2024) (quoting *Romo v. Colvin*, 83 F.Supp.3d 1116, 1121  
23 n.4 (D. Colo. 2015)).

24 The ALJ also gave little weight to Dr. Grasser’s opinion because of Plaintiff’s reports in  
25 January and February of 2017 and February 2019 that he can cook, clean, drive, shop, and walk  
26 his child to school. (AR at 28) (citing Exhibits 18F at 2; 12F at 104; 5F at 1). Plaintiff contends  
27 the ALJ is “significantly mischaracterizing” his reported activities of daily living (“ADLs”).  
28 (Doc. 16 at 11). Specifically, Plaintiff argues the evidence proffered by the ALJ does not



1 adequately address his ADLs and the ALJ fails to address his hearing testimony concerning said  
2 ADLs. *Id.* at 11-12. In contrast, Defendant asserts Plaintiff's reports in January and February  
3 2017, and February 2019 show that he is able to cook, clean, drive, shop, and walk his child to  
4 school and do not support the limitations placed on him by Dr. Grasser. (Doc. 19 at 7).  
5 Defendant also argues "from September 2016 through October 2018, Plaintiff consistently  
6 reported he was able to clean his home, drive, cook, bathe, and dress." *Id.* at 7-8.

7 An ALJ may reject an opinion when the physician identifies restrictions that "appear to be  
8 inconsistent with the level of activity that [the claimant] engaged in." *Rollins v. Massanari*, 261  
9 F.3d 853, 856 (9th Cir. 2001); *see Fisher v. Astrue*, 429 Fed. App'x 649, 652 (9th Cir. 2011)  
10 (concluding the ALJ set forth specific and legitimate reasons for rejecting a physician's opinion  
11 where the assessment was based upon the subjective complaints and limitations identified by the  
12 doctor that conflicted with the claimant's daily activities).

13 Here, the ALJ first cites to Exhibit 18F. (AR at 28). This exhibit does not exist within  
14 the record. *See generally* AR. Further, Exhibit 5F page 1, Dr. Grasser's December 2016 physical  
15 residual functional capacity medical source statement, does not discuss Plaintiff's ADLs. *Id.* at  
16 331. Finally, Exhibit 12F page 104, is a February 2017 report from Nurse Practitioner ("NP")  
17 Hector Sanchez at LAGS Spine and Sportcare notes Plaintiff can clean his home, drive, cook, and  
18 was able to bathe/dress himself. *Id.* at 499.

19 The January 2017 report referenced, but not accurately cited, by the ALJ is an evaluation  
20 by NP Sanchez noting Plaintiff can clean his home, drive, cook, and was able to bathe/dress  
21 himself. *Id.* at 356. The February 2018 report referenced, but not accurately cited, by the ALJ is  
22 an evaluation by Dr. Wagner. *Id.* at 516. Dr. Wagner found Plaintiff cooks, cleans, shops, walks  
23 his children to school for exercise, and "perform[s] his own activities of daily living without  
24 assistance." *Id.*

25 The ALJ's references and citations to Plaintiff's ADLs fails to meet the specific and  
26 legitimate reasons standard. The Ninth Circuit requires the ALJ to describe the daily activities,  
27 note whether the claimant performs them alone or with assistance, and evaluate whether the  
28 nature of each activity "comprise[s] a 'substantial' portion of [the claimant's] day, or [is]



1 ‘transferable’ to a work environment.” *Ghanim v. Colvin*, 763 F.3d 1154, 1165 (9th Cir. 2013).  
 2 Without such description and analysis, it is impossible to ascertain whether a claimant’s ability to  
 3 “carry out ADLs” contradicts his testimony. *Id.*; see *Trevizo v. Berryhill*, 871 F.3d 664, 676 (9th  
 4 Cir. 2017) (finding error where the ALJ failed to develop a record regarding the extent to which  
 5 and the frequency with which claimant engaged in specified ADLs, identify any other tasks that  
 6 might undermine her claimed limitations, or inquire into whether claimant completed activities  
 7 alone or with the help of others).

8 Here, the ALJ did not discuss, in any detail, Plaintiff’s ADLs when he discounted Dr.  
 9 Grasser’s opinion. See AR at 28. The ALJ failed to explain how Plaintiff’s ability to cook, clean,  
 10 drive, shop, and walk his child to school, invalidated the limitations proposed by Dr. Grasser.  
 11 Under these circumstances, the Court cannot find that the ALJ provided specific and legitimate  
 12 reasons supported by substantial evidence to discount Dr. Grasser’s opinion.

13 **B. Whether the ALJ Erred by Failing to Provide “Clear and Convincing**  
 14 **Reasons for Rejecting Plaintiff’s Symptomology Evidence.**

15 The ALJ is responsible for determining credibility,<sup>5</sup> resolving conflicts in medical  
 16 testimony, and resolving ambiguities. *Andrews*, 53 F.3d at 1039. A claimant’s statements of pain  
 17 or other symptoms are not conclusive evidence of a physical or mental impairment or disability.  
 18 42 U.S.C. § 423(d)(5)(A); see SSR 16-3p, 2017 WL 5180304, at \*2 (“an individual’s statements  
 19 of symptoms alone are not enough to establish the existence of a physical or mental impairment  
 20 or disability”); see also *Orn v. Astrue*, 495 F.3d 625, 635 (9th Cir. 2007) (“An ALJ is not required  
 21 to believe every allegation of disabling pain or other non-exertional impairment.”) (internal  
 22 quotation marks and citation omitted). Determining whether a claimant’s testimony regarding  
 23 subjective pain or symptoms is credible requires the ALJ to engage in a two-step analysis.  
 24 *Molina v. Astrue*, 674 F.3d 1104, 1112 (9th Cir. 2012). The ALJ must first determine if “the

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 26 <sup>5</sup> Social Security Ruling (SSR) 16-3p applies to disability applications heard by the  
 27 agency on or after March 28, 2016. Ruling 16-3p eliminated the use of the term “credibility” to  
 28 emphasize that subjective symptom evaluation is not “an examination of an individual’s character  
 but an endeavor to “determine how symptoms limit [the] ability to perform work-related  
 activities.” SSR 16-3p, 2017 WL 5180304, at \*3.

1 claimant has presented objective medical evidence of an underlying impairment which could  
2 reasonably be expected to produce the pain or other symptoms alleged.” *Lingenfelter v. Astrue*,  
3 504 F.3d 1028, 1036 (9th Cir. 2007) (internal punctuation and citations omitted). This does not  
4 require the claimant to show that his impairment could be expected to cause the severity of the  
5 symptoms that are alleged, but only that it reasonably could have caused some degree of  
6 symptoms. *Smolen*, 80 F.3d at 1282.

7 If the first step is met and there is no evidence of malingering, “the ALJ must provide  
8 ‘specific, clear and convincing reasons for’ rejecting the claimant’s testimony.” *Treichler v.*  
9 *Comm’r of Soc. Sec.*, 775 F.3d 1090, 1102 (9th Cir. 2014) (quoting *Smolen*, 80 F.3d at 1281).  
10 *See Carmickle v. Comm’r of Soc. Sec.*, 533 F.3d 1155, 1160 (9th Cir. 2008) (noting an adverse  
11 credibility finding must be based on “clear and convincing reasons”). The ALJ must make  
12 findings that support this conclusion, and the findings must be sufficiently specific to allow a  
13 reviewing court to conclude the ALJ rejected the claimant’s testimony on permissible grounds  
14 and did not arbitrarily discredit the claimant’s testimony. *Moisa v. Barnhart*, 367 F.3d 882, 885  
15 (9th Cir. 2004).

16 The Ninth Circuit does “not require ALJs to perform a line-by-line exegesis of the  
17 claimant’s testimony, nor do they require ALJs to draft dissertations when denying benefits.”  
18 *Stewart v. Kijakazi*, No. 1:22-cv-00189-ADA-HBK, 2023 WL 4162767, at \*5 (E.D. Cal. Jun. 22,  
19 2023), *F&R adopted*, 2023 WL 5109769 (E.D. Cal. Aug. 8, 2023); *see Record v. Kijakazi*, No.  
20 1:22-cv-00495-BAM, 2023 WL 2752097, at \*4 (E.D. Cal. Mar. 31, 2023) (“Even if the ALJ’s  
21 decision is not a model of clarity, where the ALJ’s ‘path may reasonably be discerned,’ the Court  
22 will still defer to the ALJ’s decision.”) (quoting *Wilson v. Berryhill*, 757 F. App’x 595, 597 (9th  
23 Cir. 2019)). “The standard isn’t whether our court is convinced, but instead, whether the ALJ’s  
24 rationale is clear enough that it has the power to convince.” *Smartt v. Kijakazi*, 53 F.4th 489, 494  
25 (9th Cir. 2022) (the clear and convincing standard requires an ALJ to show his work).

26 The ALJ may consider numerous factors in weighing a claimant’s credibility, including  
27 “(1) ordinary techniques of credibility evaluation, such as the claimant’s reputation for lying,  
28 prior inconsistent statements concerning the symptoms, and other testimony by the claimant that

appears less than candid; (2) unexplained or inadequately explained failure to seek treatment or to follow a prescribed course of treatment; and (3) the claimant's daily activities." *Smolen*, 80 F.3d at 1284. In evaluating the credibility of symptom testimony, the ALJ must also consider the factors identified in SSR 16-3P. *Id.*<sup>6</sup> (citing *Bunnell v. Sullivan*, 947 F.2d 341, 346 (9th Cir. 1991)). *Accord Bray v. Comm'r of Soc. Sec. Admin.*, 554 F.3d 1219, 1226 (9th Cir. 2009). These factors include:

(1) Daily activities; (2) The location, duration, frequency, and intensity of pain or other symptoms; (3) Factors that precipitate and aggravate the symptoms; (4) The type, dosage, effectiveness, and side effects of any medication an individual takes or has taken to alleviate pain or other symptoms; (5) Treatment, other than medication, an individual receives or has received for relief of pain or other symptoms; (6) Any measures other than treatment an individual uses or has used to relieve pain or other symptoms (e.g., lying flat on his or her back, standing for 15 to 20 minutes every hour, or sleeping on a board); and (7) Any other factors concerning an individual's functional limitations and restrictions due to pain or other symptoms.

SSR 16-3P, 2017 WL 5180304, at \*7. *See* 20 C.F.R. § 404.1529(c)(3). If the ALJ's finding is supported by substantial evidence, the court may not engage in second-guessing. *Tommasetti*, 533 F.3d at 1039 (citations and internal quotation marks omitted).

The clear and convincing standard is "not an easy requirement to meet," as it is "the most demanding requirement in Social Security cases." *Garrison*, 759 F.3d at 1015 (quoting *Moore v. Comm'r of Soc. Sec. Admin.*, 278 F.3d 920, 924 (9th Cir. 2002)). "A finding that a claimant's testimony is not credible must be sufficiently specific to allow a reviewing court to conclude the adjudicator rejected the claimant's testimony on permissible grounds and did not arbitrarily discredit a claimant's testimony regarding pain." *Brown-Hunter v. Colvin*, 806 F.3d 487, 493 (9th Cir. 2015) (citation and internal quotation marks omitted).

"The fact that a claimant's testimony is not fully corroborated by the objective medical findings, in and of itself, is not a clear and convincing reason for rejecting it." *Vertigan*, 260 F.3d at 1049. *See* 20 C.F.R. § 404.1529(c)(2) ("[W]e will not reject your statements about the intensity

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<sup>6</sup> *Smolen* cites to SSR 88-13, which has since been superseded by SSR 16-3P (available at 2017 WL 5180304). *See Amy E.S. v. Commissioner*, 2022 WL 92939, at \*9 n.4 (D. Or. Jan. 10, 2022).

1 and persistence of your pain or other symptoms or about the effect your symptoms have on your  
2 ability solely because the objective medical evidence does not substantiate your statements.”).  
3 Rather, where a claimant’s symptom testimony is not fully substantiated by the objective medical  
4 record, the ALJ must provide additional reasons for discounting the testimony. *Burch*, 400 F.3d  
5 at 680. “The ALJ must specify what testimony is not credible and identify the evidence that  
6 undermines the claimant’s complaints – ‘[g]eneral findings are insufficient.’” *Id.* (quoting  
7 *Reddick v. Chater*, 157 F.3d 715, 722 (9th Cir. 1988)).

8 However, the medical evidence “is still a relevant factor in determining the severity of the  
9 claimant’s pain and its disabling effects.” *Rollins v. Massanari*, 261 F.3d 853, 857 (9th Cir.  
10 2001). The Court of Appeals has distinguished testimony that is “uncorroborated” by the medical  
11 evidence from testimony that is “contradicted” by the medical records and concluded that  
12 contradictions with the medical records, by themselves, are enough to meet the clear and  
13 convincing standard. *Hairston v. Saul*, 827 Fed. Appx. 772, 773 (9th Cir. 2020) (quoting  
14 *Carmickle*, 533 F.3d at 1161). The Court of Appeals has also acknowledged that the ALJ is not  
15 “required to believe every allegation of disabling pain, or else disability benefits would be  
16 available for the asking, a result plainly contrary to” the Social Security Act. *Molina*, 674 F.3d at  
17 1104.

18 Here, the ALJ acknowledged Plaintiff’s subjective complaints but found his statements  
19 about the intensity, persistence, and limiting effects of his symptoms were inconsistent with the  
20 evidence of record. AR at 25, 28. The ALJ noted that in February 2017, Plaintiff reported he was  
21 able to clean his home, drive, cook, and care for his personal hygiene. *Id.* at 26, 499. This  
22 treatment record contradicts Plaintiff’s hearing testimony that he was unable to drive, do chores,  
23 cook, and/or shop. *Id.* at 48-50. Likewise, the ALJ found Plaintiff’s testimony was contradicted  
24 by Plaintiff’s statements in the February 2019 internal medicine consultative examination  
25 conducted by Dr. Wagner. *Id.* at 27. Dr. Wagner noted Plaintiff “does cook and clean...does  
26 drive, but his car is currently broken...does shop and perform his own activities of daily living  
27 without assistance” despite testifying, a month earlier, that he was unable to perform a majority of  
28 these activities. *Id.* at 27, 516.

Moreover, the ALJ did not merely cite inconsistencies in the record, but effectively signaled that his discounting of Plaintiff's testimony was because of these inconsistencies. For example, the ALJ highlighted the significance of Dr. Wagner's documentation that Plaintiff could sit for 45 minutes at a time, cook, clean, drive, shop, perform his activities of daily living, and walk his child to school, by observing "despite [Plaintiff's] testifying" to the contrary. (AR 27 citing Ex. 15F). The ALJ's use of signaling language like this enables this Court to conclude the ALJ rejected Plaintiff's testimony on permissible grounds and did not arbitrarily discredit the testimony. *Moisa*, 367 F.3d at 885.

An ALJ is "permitted to consider daily living activities" in addressing a Plaintiff's subjective statements. *Burch*, 400 F.3d at 681. Daily activities "form the basis for an adverse credibility determination" when: (1) the daily activities contradict the claimant's other testimony or (2) the daily activities meet the threshold for transferable works skills. *Orn*, 495 F.3d at 639; *see Molina*, 674 F.3d at 1112 (factors to consider in evaluating a claimant's statements include "whether the claimant engages in daily activities inconsistent with the alleged symptoms" and whether "the claimant reports participation in everyday activities indicating capacities that are transferable to a work setting"). The record demonstrates Plaintiff's reported daily activities directly contradict his hearing testimony. The ALJ recognized this discrepancy in Plaintiff's testimony, and thus provided clear and convincing reasons to discount Plaintiff's "symptomology evidence." *C.f. Sharp v. Colvin*, No. 1:13-cv-02028-BAM, 2015 WL 1274727, at \*5 (E.D. Cal. Mar. 19, 2015) (finding that the ALJ properly discounted plaintiff's testimony as inconsistent with his daily activities).

## V. REMEDY

The decision whether to remand a matter pursuant to sentence four of 42 U.S.C. § 405(g) or to order immediate payment of benefits is within the discretion of the district court. *Harman v. Apfel*, 211 F.3d 1172, 1178 (9th Cir. 2000). Plaintiff requests the Court remand this case for payment of benefits based on the credit-as-true rule. (Doc. 16 at 15-16). Generally, an award of benefits is directed when:

(1) the ALJ has failed to provide legally sufficient reasons for rejecting such evidence, (2) there are no outstanding issues that must be resolved before a determination of disability can be made, and (3) it is clear from the record that the ALJ would be required to find the claimant disabled were such evidence credited.

*Smolen*, 80 F.3d at 1292. In addition, an award of benefits is directed where no useful purpose would be served by further administrative proceedings, or where the record is fully developed. *Varney v. Sec’y of Health & Human Serv.*, 859 F.2d 1396, 1399 (9th Cir. 1998). Even if all the conditions for an award of benefits are met, the Court nevertheless retains “flexibility to remand for further proceedings when the record as a whole creates serious doubt as to whether the claimant is, in fact, disabled within the meaning of the Social Security Act.” *Garrison*, 759 F.3d at 1021; *see also Dominguez v. Colvin*, 808 F.3d 403, 407 (9th Cir. 2015).

Here, the record has been fully developed and further administrative proceedings would serve no useful purpose. The record includes multiple medical opinions, medical evidence, Plaintiff’s testimony, and the testimony of the VE. As discussed above, the ALJ has failed to provide legally sufficient reasons for discounting the opinion of treating physician Dr. Grasser. And, if Dr. Grasser’s opinion were credited-as-true, the ALJ would be required to find Plaintiff disabled on remand.

Dr. Grasser, Plaintiff’s treating physician, opined Plaintiff could no longer work. AR at 328. Dr. Grasser determined Plaintiff could only sit for about 1 hour and stand and walk for about 1 hour in an 8-hour workday. *Id.* When the opinion of Dr. Grasser is credited as true, the testimony of the VE makes clear that Plaintiff could not maintain any employment in the general economy given the limitations outlined by Dr. Grasser. *Id.* at 62.

Defendant asserts “additional development of the record would be necessary in order to find Plaintiff disabled based on the issues raised in Plaintiff’s appeal.” (Doc. 19 at 13). However, the issue raised by Plaintiff, Dr. Grasser’s opinion, was already before the ALJ. *See Varney v. Sec. of Health & Hum. Servs.*, 859 F.2d 1396, 1399 (9th Cir. 1988) (“Delaying the payment of benefits by requiring multiple administrative proceedings that are duplicative and unnecessary only serves to cause the applicant further damage—financial, medical, and emotional.”). Defendant claims the Social Security Act “does not allow a court to find disability under the

1 complex statutory scheme merely because an ALJ erred in explaining why she rejected certain  
2 pieces of evidence.” *Id.* at 14. Defendant’s argument is unpersuasive. Allowing the ALJ a  
3 “redo” would only grant the ALJ an unwarranted do over and punish the plaintiff. *See Benecke v.*  
4 *Barnhart*, 379 F.3d 587, 595 (9th Cir. 2004) (“Allowing the Commissioner to decide the issue  
5 again would create an unfair ‘heads we win; tails, let’s play again’ system of disability benefits  
6 adjudication.”); *Moisa*, 367 F.3d at 887 (“The Commissioner, having lost this appeal, should not  
7 have another opportunity to show that Moisa is not credible any more than Moisa, had he lost,  
8 should have an opportunity for remand and further proceedings to establish his credibility.”).  
9 Accordingly, this action will be remanded for payment of benefits.

## 10 VI. CONCLUSION

11 Based on the foregoing, IT IS HEREBY ORDERED:

- 12 1. Plaintiff’s motion for summary judgment (Doc. 16) is GRANTED;
- 13 2. That the decision of the Commissioner is reversed, and this matter is remanded for  
14 payment of benefits; and
- 15 3. The Clerk of Court is DIRECTED to enter judgment in favor of Plaintiff Carlos  
16 Garcia and against Defendant Martin O’Malley, Commissioner of the Social  
17 Security Administration.

18 IT IS SO ORDERED.

19 Dated: April 29, 2024

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UNITED STATES MAGISTRATE JUDGE